

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Ross S. Heitkamp et al.

Application No./Patent No.: 6,970,961 B1

Filed/Issue Date: November 29, 2005

Entitled: RELIABLE AND REDUNDANT CONTROL SIGNALS IN A MULTI-MASTER SYSTEM

Juniper Networks, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_%

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at Reel \_,  
Frame \_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to  
the current assignee as shown below:

1. From: Ross S. Heitkamp; Michael Armstrong; Michael Beesley; Ashok Krishnamurthi  
and Kenneth R. Powell To: Juniper Networks, Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 011628, Frame 0799, or for which a copy thereof is attached.

2. From: Chang-Hong Wu To: Juniper Networks, Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel \_, Frame \_, or for which a copy thereof is attached.

3. From: \_ To:

The document was recorded in the United States Patent and Trademark Office at  
Reel \_, Frame \_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (*i.e.*, the original assignment document or a true copy of the  
original document) must be submitted to Assignment Division in accordance with 37 CFR  
Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

April 22, 2008  
Date

Paul A. Harrity, Reg. No. 39,574  
Typed or printed name

/Paul A. Harrity, Reg. No. 39,574/  
Signature

Attorney for Assignee  
Title

Attorney Docket No. 0023-0004  
JOINT INVENTION  
(Worldwide Rights)

### ASSIGNMENT

WHEREAS, WE, the below named inventors (hereinafter referred to as Assignors), have made an invention entitled:

**RELIABLE AND REDUNDANT CONTROL SIGNALS IN A MULTI-MASTER SYSTEM**

☐ for which an application is being filed herewith; or ☒ for which we filed an application for United States Letters Patent on January 2, 2001 under Serial No. 09/751,841, which has issued as U.S. Patent No. 6,970,961 on November 29, 2005; and

We hereby authorize Applicants' representative to fill in the preceding information relating to the filing date and/or serial number, as appropriate.

WHEREAS, Juniper Networks, Inc., a corporation of Delaware whose post office address is 1194 North Mathilda Avenue, Sunnyvale, California 94089-1206 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for good and valuable consideration the receipt of which from assignee is hereby acknowledged, we as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention and this application, and including any previously or subsequently filed provisional applications, all divisions, continuations, and continuations-in-part thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY covenant that we have the full right to convey the interest assigned by this Assignment, and we have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that we will, without further consideration, communicate with assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

Attorney Docket No. 0023-0004

IN TESTIMONY WHEREOF, WE have hereunto set our hand.

Ross Heitkamp  
2044 Carol Avenue  
Mountain View, California 94040

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Michael Armstrong  
818 Corvallis Drive  
Sunnyvale, California 94087

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Michael Beesley  
945 Tournament Drive  
Hillsborough, California 94010

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Ashok Krishnamurthi  
3231 Fieldgate Court  
San Jose, California 95148

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Kenneth Richard Powell  
3858 Timlott Court  
Palo Alto, California 84306

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Chang-Hong Wu  
19961 Merritt Drive  
Cupertino, California 95014

Signature:  \_\_\_\_\_Date: 3/24/08